

12/12/01
J149 U.S. PTO

12-20-01

PTO/SB/05 (03-01)

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. ECD-0004

First Inventor Hart, et al.

Title System and Method for Optical Media Modification

Express Mail Label No. EV016570711US

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|--|--------------------|
| APPLICATION ELEMENTS | ADDRESS TO: |
| See MPEP chapter 600 concerning utility patent application contents. | |

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages 33]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [Total Sheets 16]
5. Oath or Declaration [Total Pages 3]
 - a. Unexecuted (original or copy)
 - b. Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

- | | |
|---|--|
| ACCOMPANYING APPLICATION PARTS | |
| 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) | Power of Attorney |
| 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement | <input type="checkbox"/> (when there is an assignee) |
| 11. <input type="checkbox"/> English Translation Document (if applicable) | Copies of IDS Citations |
| 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 | |
| 13. <input type="checkbox"/> Preliminary Amendment | |
| 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) | |
| 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) | |
| 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. | |
| 17. <input type="checkbox"/> Other: | |

18. If a CONTINUATING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No.: _____ / _____

Prior application information: Examiner _____ Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

| | | | | |
|--|---|-----------|-----------------------------------|---|
| <input type="checkbox"/> Customer Number or Bar Code Label | (Insert Customer No. or Attach bar code label here) | | | or <input checked="" type="checkbox"/> Correspondence address below |
| Name | MILLS & ONELLO LLP | | | |
| Address | Eleven Beacon Street | | | |
| City | Boston, | State | MA | Zip Code |
| Country | United States | Telephone | (617)994-4900 | Fax (617)742-7774 |
| Name (Print/Type) | Anthony P. Onello, Jr. | | Registration No. (Attorney/Agent) | 38,572 |
| Signature | | | Date | December 12, 2001 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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12/12/01

PATENT
Attorney Docket No. ECD-0004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Hart, et al.
Filing Date: Herewith
Title: SYSTEMS AND METHOD FOR OPTICAL MEDIA MODIFICATION

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.10

"Express Mail" Mailing Label Number EV016570711US I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to BOX PATENT APPLICATION, Assistant Commissioner for Patents, Washington, DC 20231-0001.

December 12, 2001
Date

Denise M. Donahue
Denise M. Donahue

BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, DC 20231-0001

TRANSMITTAL LETTER

Sir:

Enclosed herewith for filing in the above-identified patent application please find the following listed items:

1. New Utility Patent Application Transmittal;
2. New Patent Application;
3. Unexecuted Declaration, Petition and Power of Attorney;
4. Sixteen (16) Sheets of Informal Drawings; and
5. Return Postcard.

Respectfully submitted,

Date: December 12, 2001
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